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Predictive Modeling of Hip Dislocation: Assessment of Surgical and Patient Factors to Reduce the Occurrence of Hip Instability and Adverse Clinical Outcomes

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Predictive Modeling of Hip Dislocation: Assessment of Surgical and Patient Factors to Reduce the Occurrence of Hip Instability and Adverse Clinical Outcomes

A Dissertation
Presented to
the Faculty of the Daniel Felix Ritchie School of Engineering and Computer Science
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by
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Abstract

Instability and dislocation remain leading indications for revision of primary Total Hip Arthroplasty (THA). Many studies have addressed the links between implant design and propensity for dislocation, however a comprehensive review of the ability of modern THA constructs to protect against joint instability is needed.

Accordingly, the objective of this study is to provide objective data about THA risks to be considered in the treatment algorithm to protect against adverse joint loading conditions and joint instability. Adverse loading conditions were assessed in a population of activities of daily living using data from telemetric hip implant representation in an FE simulation which included probabilistic representation of clinical implant position variation. Separately, dislocation was assessed using a series of strength-calibrated joint contact and muscle forces for a variety of THA implant designs in subject-specific musculoskeletal models of patients performing activities consistent with posterior and anterior THA dislocation. The resistive moment at the point of dislocation, as well as the overall dislocation rate per construct, provide relevant measures of resistance of the THA construct to dislocation.

Based on a range of acetabular implant alignments reported clinically, variation in cup anteversion/retroversion had the largest impact on liner peak loading location of any degree of freedom throughout the prescribed activities. Cup inclination also showed a
relationship to response liner loading; stem variation in either longitudinal plane was not
strongly correlated to edge loading propensity across activities.

Increased cup anteversion and inclination reduced the occurrence of posterior hip
dislocation (82% vs 48% for anteversion and 86% vs 34% for inclination with neutral
liners), however increased the occurrence of anterior hip dislocation (13% vs 94% and
39% vs 70%). Increased hip abduction and internal rotation reduced the occurrence of
dislocation during posterior activities (79% vs 43% and 76% vs 50% for neutral liners
respectively), but increase the occurrence of dislocation in anterior dislocation activities
(45% vs 69% and 46% vs 67% for neutral liners respectively). Use of a lipped liner did
increase the resistive moment at posterior dislocation, by an average of 5.2 Nm, and the
flexion angle at dislocation by 1.4 degrees compared to a neutral liner. There was a
reduction of similar magnitude in resistance to anterior dislocation. In each instance, a
lipped liner with a posterior-inferior lip position reduced the occurrence of posterior
dislocation, but increased the occurrence of anterior dislocation.

Considering implant geometry, head offset had a large impact on the resulting
resistive moment of the THA construct, with a sensitivity of approximately 3.8 Nm/mm
of additional offset. Increasing head diameter increased resistive moment from 21 Nm to
27 Nm, a sensitivity of 0.75 Nm/mm of head diameter. Three lipped liners were
considered with increasing jump distance (JD), which is a linear measure of distance a
head must translate to dislocate. These designs corresponded to 23 Nm, 25 Nm, and 31
Nm resistive moments, respectively, a sensitivity of approximately 2.8 Nm/mm of
additional jump distance. A dual-mobility acetabular design resulted in a resistive
moment of 30 Nm.
Tradeoffs between acetabular component position, design, and patient activity and the relative clinical risk of adverse implant loading as well as anterior and posterior dislocation must be considered and weighted accordingly. A quantitative understanding of tradeoffs in the dislocation risk inherent to THA construct options is valuable in supporting surgical decision making. Computer modeling provides a framework for meaningful design assessments which can be transferred and have meaningful input to clinical practice.
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Chapter One: Introduction

Understanding and preventing adverse clinical events related to total hip prostheses in the human body is fraught with complexity, and cannot be addressed with a single methodology or study. As such, this work is separated into three studies with unique goals and motivations, each relating to prevention of adverse events in total hip arthroplasty (THA). The goals are: (1) to evaluate the occurrence of adverse edge loading conditions of THA constructs during activities of daily living, (2) to evaluate THA dislocation with consideration of variation in surgical component alignment and patient kinematics, and (3) to evaluate dislocation propensity of different THA constructs. Each subsequent section of this study is divided in this way.

1.1 Adverse Edge Loading in Total Hip Arthroplasty

In total hip arthroplasty, alignment of the femoral components and acetabular cup influences the mechanics of the joint, including the functional range of motion of hip articulation and the joint positions in which impingement can and cannot occur [1]. Further, component alignment has been associated with poor clinical outcomes such as impingement [2], dislocation [3], increased liner wear and fracture, osteolysis [4], and increased metal ions in the blood [5]. With surgical aims of improving joint mobility and restoring the ability to safely perform activities of daily living [6], the mechanics of the
joint are a critical consideration, with many studies assessing the effects of component alignment on range of motion and the likelihood of impingement [7]. Component alignment also directly affects the degree to which edge loading of the acetabular liner occurs [8], or loading in which peak contact pressure occurs towards the equator of the acetabular liner, but the degree of sensitivity including significant variation in patient activity has not been previously quantified in a cohort of patient activities.

Native hip anatomy following THA is typically not fully restored compared to the contralateral hip [9]. THA component alignment angles of interest in this study are shown in figure 1.1.
A wide range of variation has been reported in the placement of the femoral component in particular. Tsai et al. used CT measurements to show femoral anteversion following THA increased $11.4^\circ \pm 11.9^\circ$ from native anatomy while femoral neck-shaft-angle increased by $1.0^\circ \pm 7.2^\circ$, with a range from $-15.0^\circ$ retroversion to $45.0^\circ$ anteversion [9]. Higher amounts of femoral version following THA have been associated with pain and decreased quality of life [10]. In addition, vertical elevation of the femoral component, which results in leg length changes, has been shown to vary between $-2.5$mm
to 12.6 mm relative to the contralateral side [9]. Placement of the acetabular component has shown smaller amounts of variability in comparison to the femoral component, but cup placement has a direct influence on the location of the center of rotation of the hip and can also result in leg length changes. Cup position variability has been shown to be similar in each degree of freedom and can vary by up to approximately ±10 mm [9]. Recently, computer-aided surgery systems that improve the placement of the cup relative to native hip geometry have become available for use during THA [11]. However, these systems have not been widely adopted because they can add considerably to both cost and time of the surgery.

The influence of variation in component position on peak acetabular contact stresses can be assessed non-invasively with the use of probabilistic finite element (FE) simulations. A large amount of openly disseminated telemetric hip joint reaction force loading data makes it possible to address variation of component position across multiple patients and activities [12]. By perturbing component positions within the ranges published from clinical assessments, variation in joint loading position can be evaluated in a large range of telemetric implant-informed loading conditions. Implant loading trends and sensitivities with respect to component placement angles and activity types can then serve as a risk assessment which offers valuable insight to clinicians and researchers studying mechanical loading of total hip components for the purposes of tribological assessment, fatigue considerations, or loading associated with taper corrosion.
Finite element modeling has been previously used to assess how variation in knee prosthesis component design, surgical technique, and component alignment affect loading patterns of the tibiofemoral and patellofemoral joint mechanics [13]. However, the extent of the impact of positioning THA components on hip joint loading patterns during daily activities is less understood. Quantifying the tradeoffs that exist in joint mechanics and routine daily loading when altering component placement for a patient population can support surgical practice in considerations of bone quality, fixation, pelvic morphology, or joint stability. Accordingly, the objectives of this portion of the study were to 1) assess the impact of component alignment on hip joint contact loading patterns during tasks of daily living and 2) to identify which alignment and loading parameters have the greatest associated risks of adverse edge loading.

1.2 Hip Joint Dislocation Following THA

Dislocation following THA can be a frequent and serious problem that requires thorough evaluation and preoperative planning before surgical intervention. In the United States, instability/dislocation is the most common indication for revision THA, accounting for 22.5% of revisions [14]. Hip dislocation often happens early, with 60% occurring within the first 5 week postoperatively [15]. As a result, hip dislocation has been shown to account for 32.4% of all hospital readmissions within 90 days in a cohort of primary and revision THA patients [16]. Further, in-hospital post-operative dislocation is considered a non-reimbursable “Never Event” by the Center for Medicare and Medicaid services [17].
Several risk factors for dislocation have been identified, such as implant orientation, surgical technique (both approach and surgical experience), sex, femoral neck fracture as indication, American Society of Anesthesiologists classification, rheumatoid arthritis, and neuromuscular disease [18,19].

Further, improvements in posterior soft-tissue repair after primary THA along with variation in implant design have received more attention as possible mechanisms to reduce dislocations [20]. One study with 1,515 patients showed a dislocation rate for patients receiving a posterior capsulectomy was 4.8% and those with posterior capsular repair was 0.7% [21]. Another study with 500 patients showed a dislocation rate of 2.8% for the capsulectomy cohort and 0.6% dislocation rate for the capsular repair cohort [22]. Corroborating clinical results, in vitro experimental studies have shown significantly higher torque required to dislocate a THA construct in cadaveric specimen when the capsule is not compromised and fully repaired [23,24]. It is noted that proper capsular repair increased construct stability substantially, but that suture sites were often at risk of failure [24].

Other surgical factors aside from capsular repair may also influence joint stability. Cup anteversion and leg shortening have shown to be factors, presumably because of posterior femoral head coverage and soft tissue tensioning, respectively [25]. Geier et al. showed that increasing femoral offset, and hence tensioning of structures surrounding the hip, resulted in increased resisting torque and associated greater joint stability [26]. The surgical approach used by the clinician, with reported rates of dislocation at 10 years of
3.1% for anterolateral approach, 3.4% for transtrochanteric approach, and 6.9% for posterolateral approach [27].

Variations in implant design and configuration have a clear influence on dislocation propensity. As an example, femoral head size has impacted dislocation rates using the posterolateral approach at 12.1%, 6.9%, and 3.8% for 22mm, 28mm, and 32mm diameter femoral heads, respectively [27]. Howie et al. demonstrated a reduction in dislocation rate from 4.4% with a 28mm head to 0.8% with a 36mm head in primary THA, and a reduction from 5.4% to 1.3% in both primary and revision THA procedures [28]. Increasing femoral head diameter as well as using an acetabular component with a well-positioned elevated rim increase the jump distance (distance the femoral head must travel to dislocate), resulting in an inherently more stable construct. During the act of dislocation, the dislocating joint must oppose the remaining hip capsule and active muscular hip constraints acting to keep the hip intact, resulting in a torque associated with the activity that can be measured experimentally as a measure of dislocation resistance. Geier et al. showed that larger head offset (and jump distance) resulted in increasing resisting torques by these structures [26].

Computational models can be used to understand the importance of variation in implant design, surgical placement, and patient factors on relative risk of dislocation. Elkins et al. used such models to underscore the importance of retention or repair of capsular structures during THA; creating a parametric model used to study the effect of progressive capsular excision on joint stability following THA [24]. Bunn et al. used subject-specific models to study the effect of femoral head diameter on the risk for
dislocation [29]. The objective of the dislocation assessment in the current study was to use a computational model to quantitatively describe and compare how variation in THA geometry and implantation alignment contribute to joint stability and the capsular resistance to anterior and posterior dislocation.
Chapter Two: Method

Understanding and preventing adverse clinical events related to prostheses in the human body is complex. As such, the goals of this study are threefold: (1) to evaluate the occurrence of adverse edge loading conditions of THA constructs during activities of daily living, (2) to evaluate THA dislocation with consideration of variation in surgical component alignment and patient kinematics, and (3) to evaluate dislocation propensity of different THA constructs. The methods associated with such goals are also threefold. They are common in their FE representation of physiological and clinical hip replacement situations, but are unique in their structure and application of FE methods to best query the cause of clinical adversity of interest.

2.1 Adverse Edge Loading of the THA Construct

2.1.1 Patient Activity and Kinematics

THA patient activities from the publically-available Orthoload database were screened for those with kinematics of the hip substantially defined in the sagittal plane [12]. Activities were chosen in which the view of the camera is sufficient to take measurements associated with hip flexion and extension, as synchronized kinematic data was not recorded experimentally. 203 activities were identified which were generally classified as gait activities, erect standing with active range of motion activities, seated
activities, stairclimb activities, and stumbling activities. For each of the 203 activities, hip joint reaction force data and a video associated with the activity was downloaded from the Orthoload site.

A custom Matlab (Mathworks, Natick, MA) script was created to manually extract hip kinematics from each activity video. Hip flexion was measured at several intervals of each activity by identifying the two dimensional coordinates describing the position of the trunk, hip, and knee to calculate the hip angle. The starting time and ending time of the activity were marked along with each increment of time, so that each measured angle can be correlated with an increment of time within the total length of the video. Kinematic data and corresponding joint reaction force (JRF) data of each activity were then resampled to a common time increment and duration. This data was then formatted to be written as input boundary conditions for finite element modeling in Abaqus (Simulia, Providence, RI).

Five trials for each activity (gait, erect standing, seated, and stairclimb) for a total of 20 activities represented variation in patient activity for this study.

2.1.2 Finite Element Modeling

Finite element representation of the implanted THA construct developed in Abaqus consisted of representation of the resected femur and pelvis involved in the hip joint shown in Figure 2.1. The femur, pelvis, femoral stem and head, and acetabular shell were represented by rigid tetrahedral elements. General contact was defined between the acetabular and femoral components with a coefficient of friction of 0.04. Components of
the hip prosthesis were placed into the host bones initially in a neutral (mean) alignment angles with subsequent alignment perturbation described later. Physiologic loading consistent with activities of daily living were applied through the center of the prosthetic femoral head. Joint kinematics were prescribed in the sagittal plane and synchronized with applied joint force loading, while all other rotational degrees of freedom were fixed.

Figure 2.1: FE representation of THA construct in pelvis and femur
2.1.3 Polyethylene Material

To improve efficiency of predictions of liner contact mechanics for all current models, head-liner contact was modeled as rigid-rigid with a calibrated pressure-overclosure relationship based on material testing data of the polyethylene of interest. A ramp test was simulated using a deformable liner composed of hexahedral elements with material properties for initial modulus and true stress-strain derived from experimental data. The liner was fixed and a spherical head (represented as an analytical surface) was brought into contact with a ramped loading to 6000N. The resulting peak contact pressure, force-displacement, and contact area from the deformable model were used to calibrate the pressure-overclosure relationship of the same simulation run with rigid-rigid contact (Figure 2.2). Results compared well for each variable considered. At the point of peak contact pressure, the rigid model was within 0.5 MPa (Deformable: 15.7 MPa; Rigid: 15.3 MPa). The Root Mean Square (RMS) error in force displacement was 0.035mm and 44.2 mm² in contact area. This was below 5% error for all three variables, with a time savings of approximately 90%.
Figure 2.2: Comparison of deformable and rigid with pressure-overclosure polyethylene liner representations, including force-displacement (left) and force-area (right) relationships.

### 2.1.4 Probabilistic Finite Element Analyses

Implant alignment angles were sampled using a Monte Carlo approach to assess the impact of changes in *femoral head/stem alignment* and *cup alignment* on the location of peak liner contact pressure throughout the various activities. Input ranges for each degree of freedom were based on the normal distribution in component placement relative to native geometry reported by Tsai et al. (sampled positions reported in Figure 2.3) [9]. Femoral alignment considered differences in the position and orientation of the head/stem relative to the femur. Representation of acetabular cup alignments were based on the normal distribution in acetabular component placement reported by Rathod et al.
(sampled positions shown in Figure 2.3) [30]. Consistent with Monte Carlo sampling, the highest density of sampled positions is near the mean angles, with variation in each DOF consistent with reported standard deviations. 150 simulations were complete to capture variation associated with 20 Orthoload ADLs and variation in sampled implant positions.
Figure 2. (Left) The range of cup alignments that were analyzed from clinical positions reported by Rathod et al. (Right) The range of stem clinical positions reported by Rathod et al. The range of cup alignments that were analyzed from clinical positions reported by Tsai et al.
2.1.5 Data Analysis

For each simulation, the location of peak normal liner contact pressure resulting from individual JRFs and kinematics were predicted through the task cycle with perturbations to each alignment variable. Peak liner contact location was then evaluated as a percentage of the arc length from the liner dome to the liner lip, with 0% identifying the position at the dome and 100% identifying the position at the edge of the liner lip (Figure 2.4).

Figure 2.4: Representative view of degree of edge loading as results are presented. 0% edge loading represents peak contact loading directly at the dome of the liner, while 100% edge loading represents peak contact loading at the equator of the liner.

Peak liner contact pressure locations were evaluated both individually and as an overall cohort average; the latter was used when considering relative contributions of alignment perturbations. The location of peak contact pressure provides insight to the propensity for edge loading considering different types of activities as well as relative
risk and sensitivity to prosthesis alignment in several planes. Sensitivity relationships
between edge loading and component alignment were quantified through regression
analysis of the data, with slope and correlation coefficient reported for each alignment
and loading parameter.

2.2 Hip Instability and Dislocation Following THA: Understanding Variation in
Surgical Component Alignment and Patient Kinematics

2.2.1 In vivo patient measurement

The second consideration of this study is to provide data to help a clinician
understand variation in surgical component alignment variation and patient kinematics on
THA dislocation propensity. To begin this study synchronized motion capture and force
plate data were captured during activities associated with dislocation for six THA patients
as part of a larger study. Patients were eligible if they were between the ages of 45 and 80
years, had no history of uncontrolled hypertension or diabetes, body mass index <40
kg/m², no additional orthopaedic pathology, or neurologic disorders that impaired daily
function. Each patient provided written, informed consent and participated in a laboratory
testing session that was approved by the University of Denver Institutional Review
Board. Patients performed an activity associated with posterior THA dislocation in which
a patient is seated and reaching towards the foot of their implanted limb, as well as an
activity associated with anterior dislocation in which the patient is reaching backwards in
extension from a standing position while rotating and reaching away from the implanted
hip [31]
Patients were fitted with 62 reflective markers used to define anatomical landmarks for 3D motion capture. Following a standing static trial, patients were instructed to perform the activities. Each task was performed on a Bertec (Columbus,
OH, USA) force platform embedded in the floor with their surgical limb while force data was collected at 1000 Hz and an 8 camera Vicon motion capture system (Centennial, CO, USA) collected at 100 Hz. Finally, isometric strength of the hip flexors, extensors, and abductors was measured in the affected limb.

2.2.2 Musculoskeletal modeling

Musculoskeletal simulations for each patient performing the activities were constructed using a patient-specific whole body scaled models in OpenSim [32] (Figure 2.5 above). The dimensions of each segment in the model were scaled so that the distances between the virtual markers on the model matched the distances between the experimental markers. The dimensions of the body segments, mass properties (mass and inertia tensor) of the segments, and the elements attached to the body segments, such as muscle actuators and wrapping objects were all scaled. In addition, for each patient-specific model, moment arms and maximum isometric torques were scaled for flexion/extension, internal/external rotation, and adduction/abduction of the hip using the measured isometric strength of each patient [33]. Simulations of each activities was performed using the corresponding measured kinematics and ground reaction forces to predict hip joint contact forces and muscle forces using static optimization, in which the sum of muscle activation squared was minimized [34]. Joint reaction force outputs from these models have been previously verified with strong agreement [33] to patients with telemetric implants for activities of daily living [35] that include, gait, step down and sit.
to stand, however this telemetric data does not include activities associated with dislocation.

2.2.3 Finite element modeling of impingement-based dislocations

The finite element model used for dislocation simulations were the same as those used in assessment of edge loading with regards to bone and THA construct representation, including tuned parameters of polyethylene representation.

2.2.4 Capsular representation

An experimentally-calibrated finite element (FE) model of the hip capsule [36] was developed in Abaqus/Explicit (Dassault Systemes). Capsule geometry was constructed based on literature descriptions of capsule ligaments and attachment sites, and included the primary structures providing support: medial and lateral iliofemoral ligaments, pubofemoral ligament, ischiofemoral ligament, and zona orbicularis [37,38]. Each structure was composed of a fiber-reinforced membrane, represented by 2D quadrilateral elements embedded with tension-only non-linear springs (Figure 2.6).
The behavior of each structure was defined by structure-specific linear stiffness and reference strain parameters. Initially, an average capsule representation was developed by calibrating the properties (linear stiffness and reference strain) of each ligament so that the torque-rotation behavior matched mean data for cadaveric tests on 9 specimens from van Arkel et al. [39]. The experimental data included a comprehensive set of flexion-extension, abduction-adduction, and internal-external torque-rotation curves to sufficiently define the capsule constraint. A subset of the cadaveric tests (27 combinations) was reproduced in the computational model, and an optimization routine was performed to calibrate the properties of each ligament to the mean experimental behavior. Calibration resulted in RMS errors for the average model between experimental and model positions at full extension and full flexion of 2.54°. Differences between experimental and model kinematics for all the remaining poses were small with
an overall RMS error of 4.94°. Differences were identified in the calibration response for different degrees of freedom. For example, RMS errors for internal rotation (3.99°) were lower than for external rotation (5.26°). For simulations not involving internal/external rotation, the RMS errors for abduction (1.39°) were lower than for adduction (8.66°). Output bounds from the optimized probabilistic capsule representation were consistent with ±2 SD of experimental data; the overall RMS error was 5.10°.

2.2.4 Simulation of THA dislocation

Finite element simulations of both anterior and posterior dislocation were performed using two commercially-available THA constructs: one with a neutral liner and one with a lipped liner intended to increase jump distance required for dislocation (Figure 2.7).

![Neutral and Lipped Hip Acetabular Implant Constructs](image)

Figure 2.7: Neutral and Lipped hip acetabular implant constructs
The femur, pelvis, femoral stem and head, and acetabular shell were represented by rigid tetrahedral elements. The acetabular liner and hip capsule were represented as described earlier. General contact was defined between the acetabular and femoral components with a coefficient of friction of 0.04, as well as between the capsule and each of the THA components. Models of the specific THA implants were aligned to patient-specific placement per a CT scan. The lipped liner was indexed so that the lip was in a posterior-inferior position as described by Goldstein et al [22].

Each design was evaluated in 30 unique positions of inclination and version sampled by latin hypercube in a design space ±2σ from mean placement angles reported by Rathod et al. [30] (Figure 2.8).
Estimated joint contact loading and kinematics associated with the experimentally-measured posterior and anterior dislocation activities were developed from the patient-specific musculoskeletal modeling. High-risk kinematics were found to be highest flexion for posterior dislocation or extension for anterior dislocation. Starting from the implant and bony position with estimated joint contact force applied, the simulation increased the flexion angle to 115 degrees of flexion for posterior dislocation, with dislocation potentially occurring prior to that point as a result of component impingement (Figure 2.9).
A dislocation was quantified as the point when the femoral head had reached 5mm of separation. The resistive moment produced by the joint contact force and capsular ligaments opposing the dislocation and the flexion angle at dislocation were primary outputs for each simulation. Perturbations of kinematic angles in all three planes were also applied to explore the sensitivity to kinematic variables, including flexion to 140 degrees for posterior dislocation, and combinations of internal-external (IE) and adduction-abduction (AA) of +/-5 and 10 degrees, resulting in overall 50 kinematic variants represented for each design and alignment. For anterior dislocation simulations, extension of up to 35 degrees with the same IE and AA variation was included. Using 30 unique acetabular alignments and 50 unique variations of dislocation kinematics created 1500 simulations per design, per dislocation mode, and were performed to allow a broad
understanding of design sensitivity to position and kinematic variation. An automated framework was created in Matlab (Mathworks, Natick, MA) to create abaqus input files for each individual analysis and post-process the resulting data.

Resistive moment provided by the joint contact force and hip capsule and the angle at dislocation were determined for each analysis. Subsequently, dislocation rates were calculated for each design by dividing the number of analyses which dislocated by the total number of analysis in a given cohort. To consider the effect of implant alignment angles on dislocation propensity, dislocation rates were found for cohorts above and below mean alignment angles, as well as for quartiles of implant alignment angles.

2.3 Hip Instability and Dislocation Following THA: Dislocation Propensity

Considering THA Component Geometry

The third consideration of this study is to provide data to help a clinician decide how and to what extent commercially available THA constructs deter dislocation. To make an assessment of dislocation propensity, this study used the same capsular and polyethylene representation as the surgical variability dislocation study. A posterior dislocation activity was simulated with application of the predicted joint contact vector, with the hip initially positioned in 95° flexion, 10° internal rotation and 10° adduction. The simulation increased the flexion angle to 125° of flexion. Dislocation occurred prior to 125° in all instances as a result of component impingement. A dislocation was defined as the point when the femoral head reached 5mm of separation. The moment produced
by the joint loading and capsular ligaments resisting the dislocation and the flexion angle at dislocation were primary outputs for each simulation. The posterior dislocation was initially performed using a neutral component configuration with a 32mm head with +1 offset. Dislocations where then performed with consideration of offset using +5mm offset and +9mm, iteratively with three variations in jump distance (10°, 15° and 20° lips corresponding to jump distances of 18.18mm, 19.60mm and 21.03mm respectively), a size 40 head with +1mm offset and a neutral liner, and a dual mobility construct. The resistive moment and flexion angle at the point of dislocation were compared across each design. Another measure was also calculated which takes into account both the moment required to dislocate as well as the flexion angle required to dislocation, representing a more holistic measure of dislocation resistance. This has been previously described in literature as the energy of dislocation [40]. Energy of dislocation is calculated by evaluating the integral of the resistive moment vs flexion angle curve up to the point of dislocation.
Chapter Three: Results

3.1 Adverse Edge Loading Conditions

The input kinematic variation shows the trends associated with each activity (Figure 3.1). Gait showed the lowest level of hip flexion (not exceeding 40°) and overall kinematic variation throughout the activity cycle. Compared with peak RMS gait flexion, flexion of seated, standing, and stair activities were 298%, 172%, and 47% larger respectively.

Figure 3.1: Collation of activity kinematics by category show bounds associated with each activity type
The magnitude and direction of joint loading associated with each activity category is shown in Figure 3.2. Notably, the RMS peak loading in the sagittal plane (Y axis, anterior/posterior load) is 394%, 232%, and 196% larger respectively for seated, standing, and stair activities than for gait activities. Similarly, RMS peak loads along the longitudinal axis of the body (Z axis) are 39%, 62%, and 67% larger for gait, standing, and stair activities respectively than for seated activities. Across all activities, the anterior/posterior component of loading variation most strongly corresponded with resulting variation in edge loading response. Sensitivity in the anterior/posterior direction is \(0.05 \frac{\Delta \text{Edge load \%}}{\Delta N}\) \((R^2 = 0.48)\), compared with \(-0.10 \frac{\Delta \text{Edge load \%}}{\Delta N}\) \((R^2 = 0.1)\) in the Medial/Lateral direction and \(0.00 \frac{\Delta \text{Edge load \%}}{\Delta N}\) with \((R^2 = 0.02)\) in the superior/inferior direction.
Figure 3.2: Magnitude and direction of joint reaction force loading for each activity classification. X is medial/lateral loading, Y is Anterior/Posterior loading, Z is Superior/Inferior loading.

The overall probability of the peak edge loading was the lowest for gait and standing activities, generally resulting in peak edge loading between 40%-60% of maximum (Figure 3.3). These two sets of activities are relatively benign with regard to adverse events since they seldom result in edge loading greater than 60%. Seated and stair activities, activities that are characterized by hip flexion greater than 50°, resulted in edge loading distributions that ranged between 30-80% and routinely reached >60% edge loading.
Figure 3.3: Overall probability of the peak edge loading per activity category corresponding to the categorical kinematic flexion magnitude and variation

Viewed slightly differently, Figure 3.4 shows that activities associated with erect standing showed the least edge loading with THA implants in neutral position. In fact, edge loading associated with standing activities was significantly lower than edge loading associated with gait activities ($P=0.02$). Stair activities ($P=0.02$) and seated activities ($P<0.01$) showed significantly higher levels of edge loading compared with gait activities.
Angles of stem implantation did not significantly relate to maximum edge loading potential of the construct (P value above 0.05) across all activities (Figure 3.5). Plotting implant alignment angle vs observed levels of edge loading across each activity category provides visual representation of the strength of relationship between predictor and response.
Figure 3.5: Edge loading response to alignment and kinematic categories.
Cup alignment angles showed more influence on liner contact position based on $R^2$ values than did femoral stem alignment angles, as shown in Figure 3.5. Throughout most activities, the relationship between cup angles and liner loading position had a P value below 0.05, indicative of a significant relationship. Cup version in gait and stair activities was a good predictor of edge loading ($R^2 = 0.73$ & $R^2=0.69$, respectively), with a significant relationship for both (P<0.05). Cup version trended towards higher degrees of edge loading with increasing retroversion due to the tendency of all ADLs sampled to move in flexion in the sagittal plane, rather than extension. Cup inclination did not clearly trend towards increased or decreased edge loading with varying inclination.

Representative data shown in Figure 3.6 for the gait activity for both stem varus/valgus and cup version angles illustrates the impact these alignment angles have on the location of peak liner loading. While there was no relationship between stem varus/valgus angle and liner loading position (P=0.96, $R^2=0.00$), the relationship between cup version angle and liner loading position shows a strong relationship (P<0.05), with 73% of variation in liner loading position explained by cup version angle.
Figure 3.6: Representative data for the gait activity illustrates the impact of both Stem Varus/Valgus and Cup Version on the location of peak liner loading.

This relationship has a sensitivity of $0.37 \frac{\% \text{ Edge load}}{\circ \text{ Cup Version}}$ for gait activities. By comparison, cup version has a sensitivity of 0.20, 0.46, and 0.22 $\frac{\% \text{ Edge load}}{\circ \text{ Cup Version}}$ for standing, stair, and seated activities respectively. Cup inclination has a sensitivity to affect edge loading of 0.27, -0.13, -0.03, and -0.08 $\frac{\% \text{ Edge load}}{\circ \text{ Cup Version}}$ for gait, standing, stair, and seated activities respectively. These sensitivities, along with the stronger relationships demonstrated with P values highlight the importance of cup version angle. The relationship between stem angles and edge loading response is generally too weak to present sensitivities with any substantial meaning, but the relationships may be seen in Figure 3.5.
3.2 Dislocation Associated with Surgical and Kinematic Variability

Resistive moment and flexion-extension angle at dislocation were directly impacted by use of the lipped liner as compared to a neutral liner (Figure 3.7).

Figure 3.7. Resistive moment at dislocation as a function of dislocation angle. Posterior Dislocation (Top), Anterior Dislocation (Bottom). Neutral Liners (Left, in Blue), Lipped Liners (Right, in Red).

For the posterior dislocation analyses, with indexing of the lip to resist posterior dislocation, the resistive moment increased, on average, 5.2 Nm, essentially shifting the
response compared with the neutral liner. The average flexion angle at dislocation also increased, from 112.8 degrees to 114.2 degrees for neutral and lipped liners, respectively. As anticipated, the anterior dislocation simulations demonstrated the opposite trend, with potential for earlier impingement in extension, the average lipped liner resistive moment decreased by 5.6 Nm, with corresponding decrease in extension angle at dislocation of 4.0 degrees compared with neutral liners. With anterior dislocation, the resistive moment response was also relatively consistent as a function of angle of dislocation for neutral liners, while the lipped liner showed a trend of decreasing resistive moment with higher extension angles. Overall, resistive moment and flexion-extension angle were most sensitive to acetabular version, followed by acetabular inclination.

Subsequently, dislocation percentages were determined based on the number of analyses that dislocated compared with the overall number of analyses, and used to evaluate the impact of alignment and kinematic variables. For posterior dislocation, with both neutral and lipped liners the rate of posterior dislocation is lower for high anteversion (48% and 44%) compared to low anteversion (82% and 75%), and that of those results the rate of dislocation for lipped liners is lower than that of neutral liners (Figure 3.8).
Figure 3.8. Effect of cup version and inclination on posterior (top) and anterior (bottom) dislocation rates for cohort quartiles with both neutral and lipped liners.

With anterior dislocation, an anteverted cup causes earlier impingement and higher propensity for dislocation (ex. 94% vs 13% dislocation for highly anteverted vs low anteversion). Similarly, a lip placed posterior-inferiorly impinges sooner with the hip extension displayed during the anterior dislocation activity, resulting in a higher dislocation rate for the lipped liner in anterior dislocation (57% dislocation neutral, 71% dislocation lipped). Sensitivity of dislocation risk to anteversion angle

\[
\frac{\Delta \text{dislocation \%}}{\Delta \text{anteversion angle \( \degree \)}}
\]

was found to be 0.012 ($R^2=0.28$) and 0.011 ($R^2=0.51$) respectively.
for neutral and lipped liners in the posterior dislocation, and \(-0.031\) (\(R^2=0.89\)) and \(-0.026\) (\(R^2 = 0.83\)) respectively for neutral and lipped liners in the anterior dislocation.

With regard to cup inclination, for both neutral and lipped liners the rate of posterior dislocation is lower for high inclination (34% and 28%) compared to low inclination (86% and 79%), and the rate of posterior dislocation for lipped liners is lower than that of neutral liners (Figure 3.7). Anterior and posterior dislocation activities again have competing alignment trends to lower dislocation propensities. High cup inclination lowers the rate of posterior dislocations due to increased range of motion prior to impingement while simultaneously increasing the propensity for anterior dislocation. A posterior-inferiorly placed lip again lowers the rate of dislocation for posterior dislocation (62% dislocation neutral, 56% dislocation lip), while increasing the rate of dislocation for anterior dislocation (57% dislocation neutral, 71% dislocation lip). Sensitivity of dislocation risk to inclination angle was found to be \(-0.022\) (\(R^2=0.61\)) and \(-0.022\) (\(R^2=0.61\)) respectively for neutral and lipped liners in the posterior dislocations, and \(0.010\) (\(R^2=0.06\)) and \(0.009\) (\(R^2 = 0.07\)) respectively for neutral and lipped liners in the anterior dislocation.

Anterior and posterior dislocation propensities are generally oppositely affected by kinematic variation as well (Figure 3.9).
Figure 3.9. Effect of kinematics on posterior (left) and anterior (right) dislocation rate.

Increasing abduction and external rotation lower the propensity for posterior dislocation while simultaneously increasing the propensity for anterior dislocation. As before, a posterior-inferiorly placed lip reduces the propensity for dislocation across nearly all conditions of posterior kinematics while simultaneously increasing the risk for all kinematic conditions of anterior dislocation.

3.3 Dislocation Propensity Considering THA Construct Geometry

Each design considered resulted in greater resistance to dislocation compared with the neutral configuration. The +5 and +9 offset, with associated increase in capsular tensions and joint compressive loading, resulted in the greatest resistive moment during dislocation that was 78.1% and 121% greater than neutral, respectively, and both resulted in an increase in flexion angle at dislocation of 105.6°. This was in contrast to the larger
head size that provided only a 9.9% percent increase in resistive moment. Dual mobility provided 29.4% greater resistive moment and an increase in the flexion angle at which dislocation occurred (109.5° vs 101.3°). Increasing jump distance by 10° relative to neutral resulted in a 13.5% increase, 15° jump distance was 20.9% greater than neutral, and a 20° jump distance had a much larger effect with 46.5% increase. The 20° jump distance performed similarly to dual mobility with regard to resistive moment (Figure 3.10).
Figure 3.10: Resistive moment versus flexion angle for each component considered. Blue dots indicate point of dislocation. Bar plot comparing resistive moment at point of dislocation with black line indicating resistive moment for neutral components.
To consider both resistive moment and flexion angle at dislocation together in a single measure, Figure 3.11 shows the area under the curve from the resistive moment vs flexion angle plots of each construct. This measure takes into account both the moment required to dislocate as well as the flexion angle required to dislocation, representing a more holistic measure of dislocation resistance.

The +5 and +9 offset constructs, with associated increase in capsular tensions and joint compressive loading, resulted in energy of dislocation 82% and 138% greater than neutral, respectively. This was in contrast to the larger head size that provided only a 15% percent increase in energy of dislocation. Figure 3.10 showed that the dual mobility design showed only 29.4% greater resistive moment than neutral; however dual mobility had the largest flexion angle at dislocation of any construct tested, resulting in a 124% increase in energy to dislocation compared to the neutral design. Increasing jump distance by 10° relative to neutral resulted in an 8% increase, 15° jump distance was 17% greater than neutral, and a 20° jump distance was 27% increase in energy to dislocation. The 20° jump distance performed similarly to dual mobility with regard to resistive moment shown in Figure 3.11, however the increased range of motion with the dual mobility design resulted in a 78% larger energy to dislocation of the dual mobility design compared with the 20° jump distance acetabular liner.
Figure 3.11: Plot of energy of dislocation for each liner style
Chapter Four: Discussion

4.1 Edge Loading in Total Hip Arthroplasty

A clinically informed finite element analysis that included perturbations in THA component alignment identified acetabular cup/liner anteversion as having the greatest impact on the location of peak liner stresses during 20 activities of daily living across four activity classifications. Quantifying propensity for edge loading during dynamic activities is important for surgical decision making to assess placement considerations for prevention of adverse events associated with undesirable loading conditions of the acetabular liner.

Our results complement those within literature while providing a different perspective considering variation in loading and kinematics between activities. Hua et al. consider cup inclination to be the preeminent factor in consideration of edge loading [41]. The present study found cup inclination to be important, but found cup anteversion to be the best predictor of edge loading propensity. This could be due to a number of factors including different activities, implant geometries, method in which edge loading is calculated (peak vs duration of activity cycle), or the inclusion of flexion kinematics in the current study. Our findings align with literature reports of differences in anteversion between clinically dislocating and non-dislocating cohorts [25]. Hip dislocation is an adverse event characterized by distraction of the THA femoral head away from the apex of the liner, not unlike the process of edge loading.
In our study increased anteversion consistently resulted in lower maximum edge loading percentages, which is intuitive due to the occurrence of hip flexion in ADLs and the notable absence of extension in the activities sampled, visible in Figure 3.1. Figure 4.1 shows the representative contact location in flexion for an anteverted cup compared with a non-anteverted cup.

Figure 4.1: Representative contact location in flexion for a non-anteverted cup compared with an anteverted cup in a flexed hip

Furthermore, the sensitivities presented in Figure 4.2 demonstrate the relative impact of changes in cup version versus other alignment and loading parameters that were significantly related to edge loading. A one standard deviation change in cup version had on average a 2.3 times greater impact on edge loading than the only significant loading parameter of a one standard deviation change in anterior/posterior
force, for all activities. This is clinically relevant because, the variability associated with
cup placement can more easily be influenced by surgical practice than the wide range of
patient variability associated with loading.

![Figure 4.2: Change in response edge loading from -1 standard deviation to +1 standard
deviation of clinical occurrence rate of both loading and alignment.]

The described computational platform could efficiently evaluate a number of
factors of interest in THA, including sources of design variability or patient variability.
The probabilistic framework could efficiently investigate sensitivities of design
variability, patient variability, or surgical variability on things such as implant wear,
torques imparted on the THA construct, THA stresses, bone/implant micromotion, or a
number of other variables of interest to the long term success of the THA construct. This has been done effectively by Fitzpatrick et al. with total knee replacements [13].

The present study demonstrated the basis of a virtual risk assessment used to predict idealized conditions for a THA construct given identification of contributing factors. If desired acetabular cup angles are able to be predicted then surgical procedures, device designs, navigation systems and instrumentation that facilitate optimal cup placement can be used to limit excessive edge loading on the acetabular liner implant.

There are limitations to this study that should be considered. First, the simulation of each task used kinematics and loading for each patient based on Orthoload data. Kinematics were not altered following perturbations to component alignment. While it is possible that the perturbations could result in musculoskeletal adaption, those can be complex neuromuscular responses that were outside of the scope of this study. Second, hip kinematics were represented only in the sagittal plane. While the largest kinematic component of available hip ADLs with simultaneously sampled in-vivo joint reaction forces are generally in the sagittal plane, rotation in the coronal and transverse planes are omitted due to limitations of estimating out of plane hip angles from 2D video. Third, sampling ADLs is a good representation of daily use of prostheses, but does not represent ‘worst case’ conditions which are prone to create dislocation secondary to impingement. While it is intuitive that conditions causing loading near the equator of the liner are the same as those which cause impingement, there is risk in using edge loading as a surrogate measure for joint stability and dislocation. Finally, the study perturbed component alignment variables individually and thereby did not evaluate the interaction effects of
combined degrees of freedom. While our approach was able to meet the goals of identifying the alignment degrees of freedom that had the greatest impact on edge loading, we recognize that interactions effects are important moving forward.

In conclusion, the identification of the important hip implant alignment variables and quantification of their impact on edge loading during ADLs can support surgical decision-making and instrumentation development. Considering the surgical implications of how alignment affects edge loading, and improved understanding of the sensitivities of edge loading to acetabular alignment variation can help avoid clinical adverse events caused by edge loading and lead to improved THA patient outcomes.

4.2 Dislocation vs Surgical Alignment and Kinematics

An experimentally-based musculoskeletal modeling analysis that included variation in THA component alignment was performed to identify the contributions of alignment and geometry to stability and dislocation propensity during dynamic activities. This study highlights the conflicting idealized implant positions and patient kinematics in considering risk of anterior and posterior dislocation. Results of this study provide further evidence for the surgical options to enhance dislocation resistance in treatment of unstable THAs. The framework of the study is designed not to predict a clinical dislocation rate which may be substantiated by clinical series, but to represent clinically challenging scenarios which purposefully cause dislocation in a substantial percentage of simulations. The bounds of these parameters are clinically based, but the occurrence of these scenarios is not. The study then finds the resistive moment and flexion angle at
dislocation, and relative propensity for dislocation of each geometry based on component position and prescribed kinematics.

Acetabular component design and alignment angles provide varying resistance to instability and dislocation as this study highlighted the tradeoffs associated with THA. For example, increasing anteversion is an effective way to reduce the risk of dislocation across a broad range of posterior dislocation kinematics. Without further consideration, this could be considered to be an ideal solution for a large cohort of patients. Of course it should be considered as well that by increasing cup anteversion the risk of anterior dislocation increases. Lipped liners are thought to provide increased jump distance required for dislocation, thereby lowering propensity for dislocation. This was found to be true when the lip is placed such that the lip is positioned consistent with the egress site opposite the femoral neck/acetabular component impingement location. However when the kinematics are reversed the lip becomes a point of impingement earlier in the desired range of motion, causing higher propensity for dislocation. This highlights the thoughtfulness of lip position relative to the anticipated kinematics and anterior/posterior dislocation risk for a given patient. This also highlights the potential benefit of dual mobility hip liners, in which the point of largest jump distance is always opposite the stem/liner impingement site, and is self-adaptable to variation in kinematics.

Interestingly, cup inclination in this study is a more closely correlated to response posterior dislocation risk, with R² values of 0.61 and 0.61 respectively for neutral and lipped liners compared with cup anteversion R² values of 0.28 and 0.25 respectively for neutral and lipped liners. Conversely, cup anteversion is more closely correlated to
response anterior dislocation risk, with $R^2$ values of 0.89 and 0.83 respectively for neutral and lipped liners compared with cup inclination $R^2$ values of 0.06 and 0.07 respectively for neutral and lipped liners.

In addition, it was shown that a lipped liner in this case doesn’t notably increase the angle in which posterior dislocation occurs due to two things, (1) the geometry of the rim of neutral and lipped liners is the same in the position in which neck/liner impingement occurs resulting in the same impingement-free range of motion and (2) the 5mm threshold used to signal dislocation in this study. Lipped liners do, however, increase the resistive moment of the construct for dislocation to occur, particularly at higher flexion dislocation angles. For anterior dislocation we see that dislocation angle is affected with a lipped liner, as the head/neck impingement occurs sooner.

The overall clinical rate of posterior dislocation exceeds that of anterior dislocation [42], so the risks associated with each dislocation mode as well as their associated idealized implant positions must be weighted accordingly in the assessment of overall dislocation risk. The rate of posterior vs anterior dislocation is likely multifactorial, but could be based on surgical trauma during the procedure, presence or completeness of capsular repair, or simply the high occurrence of hip flexion activities compared with hip extension activities during activities of daily living [43].

There are limitations to this study that should be considered. First, the simulation of each task used baseline kinematics for each patient to calculate muscle forces and joint contact forces. Kinematics were not altered following perturbations to component alignment. While it is possible that the perturbations could result in musculoskeletal
adaption, those can be complex neuromuscular responses that were outside of the scope of this study. Further, true dislocation kinematics are not defined in vivo so simulation of dislocation kinematics were extrapolated beyond those collected in the gait lab to cause dislocation. Second, this study considers only dislocation secondary to impingement. It does not consider subluxation or general instability which may be prevalent during ADLs. Finally, this initial study did not evaluate the interaction effects of combined degrees of freedom. While our approach was able to meet the goals of identifying the alignment degrees of freedom that had the greatest impact on dislocation propensity, we recognize that interactions effects are important moving forward.

In conclusion, the identification of the important hip implant alignment variables and the associated dislocation propensities throughout dislocation can support surgical decision-making and assessment of dislocation risk. Considering the surgical implications of how alignment affects dislocation propensity of different modes can help assess tradeoffs and lead to improved THA patient outcomes by reducing the occurrence of adverse clinical events.

4.3 Dislocation per THA Construct

Results of this study provide further evidence for the use of surgical options to enhance dislocation resistance in treatment of unstable THAs. These options include increased head size, femoral stem offset, lipped liner jump distance and the use of dual mobility. Results indicate that increasing offset between the femur and the pelvis is an effective way to increase joint stability and reduce the propensity for dislocation.
Increasing offset within reason effectively tensions the soft tissue allowing for passive constraint of the hip joint. A dual mobility-style liner was also very effective at increasing joint stability shown in Figure 3.10 and 3.11.

As shown in figure 3.10, the dual mobility design was effective largely because the dual mobility design had the largest flexion angle to dislocation of any construct tested. This highlights the benefits of dual mobility designs in patients with hip instability, allowing for a relatively large resistive moment but also a high flexion angle at the point of dislocation compared with other designs.

Use of a lipped liner of varying designs (jump distances) increased joint stability compared to a neutral design, as did increasing head diameter, however head offset and dual mobility designs provided the greatest resistance to dislocation with over 2x the energy to dislocation of a neutral acetabular liner in some instances.

In conclusion, quantifiable assessment of dislocation propensities of clinically available THA constructs can support surgical decision-making and assessment of dislocation risk. Simulation techniques such as have been presented in this study can distinguish between designs which work in uniquely different ways (inherent geometric constraint for large diameter heads or lipped liners vs soft tissue constraint for higher offset constructs) Considering the surgical implications of how each construct affects dislocation propensity and each of the associated constituent risks (ROM at dislocation, resistive moment) can lead to improved THA patient outcomes by reducing the occurrence of adverse clinical events.
Chapter Five: Summary

This study was performed to provide objective data to be considered in the treatment choices for THA patients to protect against adverse joint loading conditions and joint instability. Effects of such conditions are detrimental not only in terms of cost to healthcare systems, but more importantly to patients who are affected by these conditions. Hip dislocation is a traumatic and painful event. Instability and dislocation remains a leading indication for revision of primary THA constructs; this work provided objective data to be used for the betterment of THA outcomes in a patient population.

Adverse loading conditions were assessed in a population of activities of daily living using data from telemetric hip implant measurements in an FE simulation which included probabilistic representation of clinical implant position variation. Dislocation was assessed using a series of strength-calibrated joint contact and muscle forces. Dislocation propensity was evaluated using a variety of THA implant designs in subject-specific musculoskeletal models of patients with THA performing activities consistent with posterior and anterior THA dislocation. The resistive moment at the point of dislocation, as well as the overall dislocation rate per construct provide relevant measures of resistance of the THA construct to dislocation. This data, in combination with the appropriate identification and collection of individual patient, can provide the basis of a predictive tool used to aid in the treatment of THA patients at the time in which they present for hip replacement as well as intra-operative, data-driven guidance.
Based on a range of acetabular implant alignments reported clinically, variation in cup anteversion/retroversion had the largest impact on liner peak loading location of any degree of freedom throughout the prescribed activities. Cup inclination also showed a relationship between angle and response liner loading; stem variation in either longitudinal plane was not strongly correlated to edge loading propensity across activities.

Increased cup anteversion and inclination reduced the occurrence of posterior hip dislocation (82% vs 48% for anteversion and 86% vs 34% for inclination with neutral liners), however increased the occurrence of anterior hip dislocation (13% vs 94% and 39% vs 70%). Increased hip abduction and internal rotation reduced the occurrence of dislocation during posterior activities (79% vs 43% and 76% vs 50% for neutral liners respectively), but increase the occurrence of dislocation in anterior dislocation activities (45% vs 69% and 46% vs 67% for neutral liners respectively). In each instance, a lipped liner with a posterior-inferior lip position reduced the occurrence of posterior dislocation, however increased the occurrence of anterior dislocation.

Considering implant geometry, head offset had a large impact of the resulting resistive moment of the THA construct, with a sensitivity of approximately 3.8 Nm/mm of additional offset. Increasing head diameter from 32mm to 40mm increased resistive moment from 21 Nm to 27 Nm, a sensitivity of 0.75 Nm/mm of head diameter. Three lipped liners were considered with increasing jump distance. These designs corresponded to 23 Nm, 25 Nm, and 31 Nm resistive moments, respectively, a sensitivity of
approximately 2.8 Nm/mm of additional jump distance. A dual-mobility acetabular
design resulted in a resistive moment of 30 Nm.

This work, and future work based on these methods, may provide objective data
to be considered in the treatment algorithm of THA patients to protect against adverse
joint loading conditions and joint instability.
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